

05/30/01

11042 U.S. PTO

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 Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 5440US.cip
 First Inventor N.A. Williams
 Title Therapeutic Agents
 Express Mail Label No. EL 793307957US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1 ☒ Fee Transmittal Form (e.g., PTO/IB/17)
 (Submit on original and a duplicate for fee processing)
- 2 ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
- 3 ☒ Specification [Total Pages 71]
 (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 4 ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 27]
- 5 Oath or Declaration [Total Pages]
- a ☒ Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
 b ☐ (for continuation/divisional with Box 17 completed)
- ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b)
- 6 ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a ☐ Computer Readable Form (CRF)
- b Specification Sequence Listing on
- ☐ CD-ROM or CD-R (2 copies), or
- ☐ paper
- c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment Papers (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure Statement (IDS)/PTO-1449
- 13 ☐ Copies of IDS Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16 ☐ Other:

17 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No. 08/999,458

Prior application information

Examiner M. Borin

Group / Art Unit 1631

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name 26850 Mary M. Krinsky
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Name (Print/Type) Mary M. Krinsky Registration No. (Attorney/Agent) 32423
 Signature *Mary M. Krinsky* Date 30 May 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)**1,276.00**

Complete if Known

Application Number CIP of 08/999,458
Filing Date 30 May 2001
First Named Inventor N.A. Williams
Examiner Name M. Borin
Group Art Unit 1631
Attorney Docket No. 5440US.cip

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **50-0212**
- Deposit Account Name **Mary M. Krinsky**
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 690 201 345		Utility filing fee	710
106 310 206 155		Design filing fee	
107 480 207 240		Plant filing fee	
108 690 208 345		Reissue filing fee	
114 150 214 75		Provisional filing fee	
SUBTOTAL (1) (\$)			710

2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
Code (\$)	Code (\$)		
47	27	18	486
4	1	80	80
Multiple Dependent			

*for number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
103 18 203 9		Claims in excess of 20	
102 78 202 39		Independent claims in excess of 3	
104 260 204 130		Multiple dependent claim, if not paid	
109 78 209 39		** Reissue independent claims over original patent	
110 18 210 9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**566**

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 380 216 190		Extension for reply within second month	
117 870 217 435		Extension for reply within third month	
118 1,360 218 680		Extension for reply within fourth month	
128 1,850 228 925		Extension for reply within fifth month	
119 300 219 150		Notice of Appeal	
120 300 220 150		Filing a brief in support of an appeal	
121 260 221 130		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,210 241 605		Petition to revive - unintentional	
142 1,210 242 605		Utility issue fee (or reissue)	
143 430 243 215		Design issue fee	
144 580 244 290		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 690 246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690 249 345		For each additional invention to be examined (37 CFR § 1.129(b))	
179 690 279 345		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	
Other fee (specify)			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

SUBMITTED BY

Name (Print/Type) **Mary M. Krinsky**

Signature *Mary M. Krinsky*

Registration No. **32423**

Telephone **203-773-9544**

Date **30 May 2001**

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